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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
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Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/069,054

04/28/98

CHAN

R

HUT 293

0242/0518

KENNETH D'ALESSANDRO  
D'ALESSANDRO & RITCHIE  
P O BOX 640640  
SAN JOSE CA 95164-0640

NOT ASSIGNED

2784

DATE MAILED:

05/18/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☐ non-small entity is \$ 1338

☐ 1. The statutory basic filing fee is:

☐ missing.

☐ insufficient.

Applicant must submit \$ 790 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ 418, including any multiple dependent claim fees, are required.

\$ 418 for 19 independent claims over 3.

\$ 418 for 19 dependent claims over 20.

\$        for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

☒ is missing or unexecuted.

☐ does not cover the newly submitted items.

☐ does not identify the application to which it applies.

☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center

Initial Patent Examination Division (703) 308-1202



#3

36E1

Secretary  
3615

Docket No. : ACT-233

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

King W. Chan, William Chiu Ting Shu,  
Sinan Kaptanoglu and Chi Fung Cheng

Serial No. 09/096,054

Filed: April 29, 1998

For: DEDICATED INTERFACE  
ARCHITECTURE FOR A HYBRID  
INTEGRATED CIRCUIT

Art Unit:

Examiner:

Adjustment date: 02/10/1999 MPEOPLES  
08/25/1998 MPEOPLES 00000013 09096054  
01 FC:101 -790.00 OP  
02 FC:103 -418.00 OP  
03 FC:105 -130.00 OP

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being  
deposited with the United States Postal Service with  
sufficient postage as First Class Mail, in an envelope  
addressed to:

Assistant Commissioner for Patents

Washington, D.C. 20231

Attn: Box Missing Parts

on 2-17-98  
Date

Tara Hayden

02/10/1999 MPEOPLES 00000059 09069054

01 FC:101 790.00 OP  
02 FC:103 418.00 OP  
03 FC:105 130.00 OP

RESPONSE TO NOTICE TO FILE MISSING PARTS

Honorable Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Sir:

Adjustment date: 08/25/1998 MPEOPLES  
06/17/1998 KHARLING 00000026 110978 09096054  
01 FC:201 395.00 CR  
enclosed herewith:

08/25/1998 MPEOPLES 00000013 09096054  
1. Declaration executed by three of four inventors;

01 FC:101 790.00 OP  
02 FC:103 418.00 OP  
03 FC:105 130.00 OP  
2. Petition for acceptance of Declaration where an inventor cannot be found  
under 37 C.F.R. 1.47,

3. Affidavit for Tara Hayden;

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98 SEP -1 AM 10:57

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TECHNICAL CENTER 3600

4. Request for extension of time;

5. Check in the amount of \$1,338.00 for filing fee and surcharge for assignee as a large entity calculated as follows:

Basic filing fee - Fee Code 101	\$ 790.00
19 additional claims in excess of twenty - Fee Code 103	\$ 418.00
<u>Surcharge - Fee Code 105</u>	<u>\$ 130.00</u>
Total	\$1,338.00

6. Copy of Notice to File Missing Parts.

The Assistant Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 04-0025. A duplicate of this transmittal page is enclosed.

Respectfully submitted,  
D'ALESSANDRO & RITCHIE



Marc S. Hanish  
Reg. No. P42,626

Dated: August 17, 1998

D'Alessandro & Ritchie  
P.O. Box 640640  
San Jose, CA 95164-0640  
(408) 441-1100

98 SEP 10 PM 2:11  
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98 NOV 9-AM 8:14  
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GROUP 1300

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